

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of  
Monteleone et al.

For: System and Method for Trading

Docket No. 32715-2001/TAC

---

**PRELIMINARY AMENDMENT**

December 15, 2003

Commissioner for Patents  
Mail Stop Preliminary Amendment  
P.O. Box 1450  
Alexandria, VA 22313-1450  
U.S.A.

Sir :

The Applicant hereby requests that prior to first examination on the merits, the subject application be amended as follows:

Please change the title of the application from **System and Method for Trading** to **System and Method for Trading of Mortgage Backed Securities**.

Respectfully submitted,

**TORYS LLP**

  
\_\_\_\_\_  
Ted Chan, Reg. No. 46,609  
Suite 3000, P.O. Box 270  
79 Wellington Street West, TD Centre  
Toronto, Ontario  
M5K 1N2  
Tel.: (416) 865-0040  
Fax: (416) 865-7380